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Docket No.: 16169,5  
Serial No.: 10/532,538

\*\*\*\*\*  
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PATENT APPLICATION  
Docket: 16169.5

NOV 21 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Saito, et al.

Serial No.:

10/532,538

Filed:

April 22, 2005

Confirmation No.:

9290

For:

GLASS SUBSTRATE FOR INFORMATION  
RECORDING MEDIUM AND METHOD FOR  
MANUFACTURING SAMEArt Unit  
1775**CERTIFICATE OF FACSIMILE TRANSMISSION**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

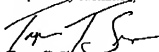
Sir:

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- IDS Transmittal (2 pages)
- Supplemental Information Disclosure Statement (2 pages)
- Form PTO-1449 (1 page)
- Copy of cited reference (13 pages)

Dated this 21 of November.

Respectfully submitted,

Tyson T. Sharp  
Assistant to R. Burns Israelsen  
WORKMAN | NYDEGGER**BEST AVAILABLE COPY****BEST AVAILABLE COPY**RBI:hs  
PTSD00000015V001

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				<b>Docket No.</b> <b>16169.5</b>	
<b>In Re Application Of:</b> Saito, et al.					
<b>Application No.</b> 10/532,638	<b>Filing Date</b> 04/22/2005	<b>Examiner</b> Unknown	<b>Customer No</b> 022913	<b>Group Art Unit</b> 1775	<b>Confirmation No.</b> 9290
<b>Title:</b> GLASS SUBSTRATE FOR INFORMATION RECORDING MEDIUM AND METHOD FOR MANUFACTURING SAME					

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Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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P10A/REV05

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 197(b) or 1.97(c))				<b>Docket No.</b> 16169.5	
In Re Application Of: Salto, et al.					
<b>Application No.</b> 10/532,538	<b>Filing Date</b> 04/22/2005	<b>Examiner</b> Unknown	<b>Customer No</b> 022913	<b>Group Art Unit</b> 1775	<b>Confirmation No.</b> 9290
<b>Title:</b> GLASS SUBSTRATE FOR INFORMATION RECORDING MEDIUM AND METHOD FOR MANUFACTURING SAME					

**Payment of Fee**  
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))


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VIA FACSIMILE

PATENT APPLICATION  
Docket No: 16169.5**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Saito, et al.

Serial No.:

10/532,538

Unit

Art

1775

Confirmation No.:

9290

Filed:

April 22, 2005

For:

GLASS SUBSTRATE FOR INFORMATION  
RECORDING MEDIUM AND METHOD FOR  
MANUFACTURING SAME**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

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Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

DATED November 21, 2005.

Respectfully submitted,



R. Burns Israelsen  
Attorney for Applicant  
Registration No. 42,685  
Customer No. 022913

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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	Docket number (Optional) <b>16169.5</b>	Application Number <b>10/532,538</b>
	Applicant(s) <b>Saito, et al.</b>	
	Filing Date <b>4/22/2005</b>	Group Art Unit <b>1775</b>

FOREIGN PATENT DOCUMENTS				
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	COUNTRY
<b>/A.A./</b>		<b>2002-150547</b>	<b>5/24/2002</b>	<b>Japan</b>
EXAMINER		DATE CONSIDERED		
/Anita Alanko/ (09/14/2008)				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

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